Docket No.

255492US90PCT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

7.

Atsushi KUDO, et al.

SERIAL NO: FILED:

D: 10/502,054 a

July 30, 2004

GAU:

**EXAMINER:** 

FOR:

HONEYCOMB FILTER FOR PURIFYING EXHAUST GASES

### **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE		ATTY DOCKET NO.		SERIAL NO.					
(Modified) PATENT AND TRADEMARK OFFICE				255492US90PCT		10/502,054			
11/47.05	)	DENOTED BY AD	DUCANT	APPLICANT					
LIST OF REFERENCES CITED BY APPLICANT				Atsushi KUDO, et al.					
				FILING DATE		GROUP			
PARTE				July 30, 2004					
	RADEN	ARKO		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE			
	AA	5 914 187	06/22/99	NARUSE et al.					
	AB	5 930 994	08/03/99	SHIMATO et al.					
	AC	6 447 564	09/10/02	OHNO et al.	<u> </u>				
	AD	6 565 630	05/20/03	OHNO et al.					
	AE	2004/55265	03/25/04	OHNO et al., serial no. 10/671,418	<u> </u>				
	AF	6 669 751	12/30/03	OHNO et al.					
	AG	2004/33175	02/19/04	OHNO et al. serial no. 10/362,975					
	АН	2004/31264	02/19/04	KOJIMA, serial no. 10/296,027					
	Al	2004/161596	08/19/04	TAOKA et al., serial no. 10/477,741					
	AJ	6 770 116	08/03/04	KOJIMA					
	AK								
	AL								
	АМ								
	AN								
			FO	REIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO			
	AO	2001-98936	04/10/01	JP(with English abstract & partial Englistranslation)	· · · · · · · · · · · · · · · · ·		NO		
	AP	965 735	12/22/99	EP			NO		
	AQ	2001-190916	07/17/01	JP(equivalent of EP1249262)			NO		
	AR								
	AS								
	AT								
	AU								
	AV								
		OTHER RE	FERENCES (I	ncluding Author, Title, Date, Pertinent	Pages, et	c.)			
	AW								
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	AZ				Addit	ional Refer	rences sheet(s) attached		
Examiner					Date Con	Date Considered			
*Examiner: Ini	tial if re	eference is considered, to considered. Include co	whether or not	citation is in conformance with MPEP 60 with next communication to applicant.	9; Draw lin	e through	citation if not in		



U.S. PCT Application Serial No.: 10/502,054

Docket No.: 255492US90PCT

## STATEMENT OF RELEVANCY

1) References <u>AO-AP</u> have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.									
2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.									
3) Reference AQ is discussed in the specification. A copy of the reference being submitted here with.	is								
4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.	f								

# LIST OF RELATED CASES

1	Docket Number	Serial or Patent Number	Filing or <u>Issue Date</u>	Patent Appl.  Publication No.	Inventor/ Applicant
	PER CLIENT	5,914,187	06/22/99		NARUSE et al.
	PER CLIENT	5,930,994	08/03/99		SHIMATO et al.
	PER CLIENT	6,447,564	09/10/02		OHNO et al.
	PER CLIENT	6,565,630	05/20/03		OHNO et al.
	PER CLIENT	09/926,795	UNKNOWN		UNKNOWN
	PER CLIENT	10/671,418	09/26/03	2004/0055265	OHNO et al.
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	PER CLIENT	10/129,126	UNKNOWN		UNKNOWN
	PER CLIENT	10/362,975	10/01/01	2004/0033175	OHNO et al.
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	227689US90 PCT	6,770,116	08/03/04		KOJIMA
	251134US90 PCT	10/490,206	09/02/04		HONG et al.
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	252658US90 PCT	10/493,056	08/17/04		HONG et al.
	255492US90 PCT*	10/502,054	07/30/04	,	KUDO et al.

<sup>\*</sup>Present Application; listed for information MM/akh
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